

00000000 09 MAR 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Hans-Heinrich GATZEN  
Serial No.: 10/540,119  
For: READ-WRITE HEAD WITH INTEGRATED MICROACTUATOR  
International  
Filing Date: December 16, 2003  
US Filing Date: June 20, 2005  
Examiner: Not Yet Assigned  
Art Unit: Not Yet Assigned  
Confirmation No.: 8882  
Customer No.: 27,623 Attorney Docket No.: 2133.099USU

**Mail Stop PCT  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Attention: DO/EO/US**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. § 1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by Axel H. SCHMIDT;
- ☒ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ☐ \_\_\_\_\_ Sheets of Formal Drawings;
- ☐ An Assignment of the Invention to : \_\_\_\_\_ including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ Information Disclosure Statement (Form - 1449);
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
- ☐ Preliminary Amendment; and
- ☐ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

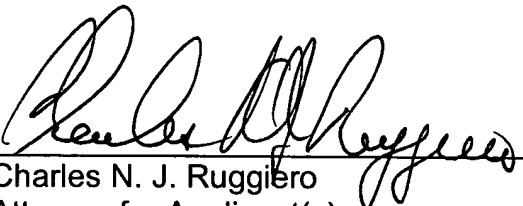
The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	** - 20 =	0	x \$50.00	\$
Independent Claims	* - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$PAID		
1/2 FILING FEE FOR SMALL ENTITY		\$		

☒ A check in the amount of \$ 65.00 to cover the surcharge.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

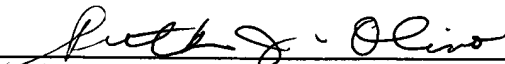
March 7, 2006  
Date

  
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**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, Attention: DO/EO/US ON March 7, 2006.

Ruth J. Olivo  
(Typed name of person mailing paper)

  
(Signature of person mailing paper)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/540,119	Hans-heirich Gatzten	2133.099USU

INTERNATIONAL APPLICATION NO.

PCT/EP03/14319

I.A. FILING DATE

12/16/2003

PRIORITY DATE

12/18/2002

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP  
 ONE LANDMARK SQUARE, 10TH FLOOR  
 STAMFORD, CT 06901

CONFIRMATION NO. 8882

371 FORMALITIES LETTER



\*OC000000017986615\*

Date Mailed: 02/14/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/20/2005
- English Translation of the IA filed on 06/20/2005
- Copy of the International Search Report filed on 06/20/2005
- Copy of IPE Report filed on 06/20/2005
- Copy of Annexes to the IPE filed on 06/20/2005
- Preliminary Amendments filed on 06/20/2005
- Information Disclosure Statements filed on 09/21/2005
- U.S. Basic National Fees filed on 06/20/2005
- Priority Documents filed on 06/20/2005

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FEB 21 2006

OHLANDT, GREELEY,  
RUGGIERO & PERLE, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

Additionally the following defects have been observed:

- The translations of Annexes are canceled since the translations were not submitted prior to 30 months from the priority date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,119	PCT/EP03/14319	2133.099USU